

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addiese: COMMISSIONER FOR PATENTS P O Box 1450 Alexandra, Virginia 22313-1450 www.wepto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/571,277	03/09/2006	Hideyuki Okano	09707.0009	6053
22852 7590 07/21/2009 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER			EXAM	IINER
ULP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413		LEAVITT, MARIA GOMEZ		
		ART UNIT	PAPER NUMBER	
		1633		
			MAIL DATE	DELIVERY MODE
			07/21/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Advisory Action Before the Filing of an Appeal Brief

Application No.		Applicant(s)	
	10/571,277	OKANO ET AL.	
	Examiner	Art Unit	
	MARIA LEAVITT	1633	

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE	REPLY FILED <u>13 July 2009</u> FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.
1. 🛛	The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this
	application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the
	application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request
	for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time
	periods:
a)	The period for reply expires 6_months from the mailing date of the final rejection.

b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOTICE OF APPEAL

2. A brief Notice of Appeal was filed on 13 July 2009. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

AMEN	DME	NTS

3. The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because	
(a) ☑ They raise new issues that would require further consideration and/or search (see NOTE below);	
(b) ☐ They raise the issue of new matter (see NOTE below);	
(c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues fo appeal; and/or	ır
(d) ☐ They present additional claims without canceling a corresponding number of finally rejected claims.	
NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).	
4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).	
5. Applicant's reply has overcome the following rejection(s):	
6. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling	the
non-allowable claim(s).	
7. 🔲 For purposes of appeal, the proposed amendment(s): a) 🛛 will not be entered, or b) 🔲 will be entered and an explanation of	ρf
how the new or amended claims would be rejected is provided below or appended.	
The status of the claim(s) is (or will be) as follows:	
Claim(s) allowed:	
Claim(s) objected to:	
Claim(s) rejected: <u>18.19.21.22.27 and 31-41</u> .	
Claim(s) withdrawn from consideration: 1-17,20,23-26 and 28-30.	
AFFIDAVIT OR OTHER EVIDENCE	
 The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary in the control of the control of	
was not earlier presented. See 37 CFR 1.116(e).	
 The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appealant fails to provide 	а

showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1). 10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached. REQUEST FOR RECONSIDERATION/OTHER

11. The request for reconsideration has been considered but does NOT place the application in condition for allowance because: See Continuation Sheet.

12. Note the	attached Information	Disclosure Statement(s)	. (PTO/SB/08) Paper No(s)
13. Other:			

/Maria Leavitt/ Examiner, Art Unit 1633 Continuation of 3. NOTE: Amended claims 18, 21, 32 and 38 introduce specific limitations, i.e., "administration of Galectin-1 to the vicinity of neuronal stem cells in the brain". None of the claims previously examined recited, "administration of Galectin-1 to the vicinity of neuronal stem cells in the brain". This limitation was not previously examined requiring new search and consideration of the art made of record, and of the specification for support of the amendment. Therefore, the amendment to the claims filed on 07-13-2009 has not been entered.

Continuation of 11, does NOT place the application in condition for allowance because: Applicants' arguments rely upon and are directed to the proposed amendments. As the claims' amendment has not been entered, applicants' arguments based on the proposed amendment are not persuasive. Therefore, the rejections of record are maintained.